ALUMINUM TOXICITY:
A REVIEW IN RELATION TO CHRONIC RENAL FAILURE
PATIENTS MAINTAINED ON REGULAR HEMODIALYSIS

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ABSTRACT

Aluminum is present in very small amounts in living organisms but abundant in the environment. A growing literature links with the biochemistry of aluminum and also with a series of diseases in chronic renal failure patients on treatment with hemodialysis. The initial description of potential aluminum toxicity in renal failure patients relates to description of dialysis encephalopathy syndrome in 1972. The major emphasis of this review will be on the recent literature involving aluminum metabolism and epidemiology of aluminum related disease. Finally the possibility that aluminum contributes to hypochromic microcytic anemia, dialysis osteomalacia (Newcastle bone disease), encephalopathy and Alzheimer disease in hemodialyzed patients has been also discussed.

INTRODUCTION

In 1897, Von Dolleken described a patient with neurological symptoms and ascribed these to the high aluminum content of the dust in the mine in which the man had worked.1 24 years later, a patient presenting with amnesia, tremor, jerking movements and incoordination had these symptoms attributed to aluminum he had received from dust particles in the metal workshop in which he was employed.2 Subsequently, pulmonary fibrosis was found to be prevalent in factories where the dust had high aluminum content.3,4 Encephalopathy and pulmonary fibrosis developed in a 49-year-old man who had worked for thirteen and a half years in an aluminum factory and both conditions were attributed to his working environment.4 The man died of progressive encephalopathy and bronchopneumonia and at autopsy his lungs and brain contained respectively 20 to 100 times more aluminum than that present in tissues of a healthy person of similar age.

The recognition of aluminum as a toxic agent in patients with chronic renal failure followed the work of Alfrey, et al. in 1976 who showed that aluminum could readily cross dialysis membranes and lead to hyperalbuminemia in patients on regular hemodialysis.7 They had previously described a syndrome of dyspraxia and multifocal seizures in such patients and suggested these symptoms were enhanced by a toxin present in the water used to prepare the dialysate fluids. Indeed they stated that the toxin might be a trace metal.8 There is now a considerable body of literature detailing the role of aluminum in the development of complications in patients with chronic renal failure, especially those on regular hemodialysis.

Aluminum has also been implicated as an etiological factor in the development of Alzheimer's disease7 and
anyotrophic lateral sclerosis and parkinsonism dementia.10

Physicochemical properties of aluminum

Aluminum has an atomic number of 13 with a mass number of 26.77 and is the most abundant metallic element in the earth's crust, occurring in a variety of aluminosilicates such as clay, micas, and feldspars. Aluminosilicate structures are dominated by oxygen but require additional cations generally potassium, sodium, or calcium.12,13 Ferric ions may substitute for aluminum in some mineral structures due to similarity in ionic radius and charge. The ionic radius of aluminum in solution has been reported to be 0.59 Å and that for iron 0.65 Å.14,15

In solution, oxygen donor ligands such as carboxylate and phosphate form complexes with aluminum as do salicylic, oxalic, and malonic acids.16 Phenolic groups do not favor attachment of aluminum because the phenolic hydrogen is not dissociated significantly at pH levels where aluminum cations can exist in important proportions.16 Complexes of aluminum with citric acid are thought to be important in the passage of aluminum through the gastrointestinal tract.17,18 Aluminum also forms strong complexes with hydroxide, fluoride, and sulphate ions,19 but weak complexes with amines and sulfhydryl ligands.15

Table I. Concentration and pH dependence of mononuclear aluminum species38

<table>
<thead>
<tr>
<th>Concentration (10^(-5)M) species</th>
<th>pH 7</th>
<th>pH 8</th>
<th>pH 9</th>
<th>pH 10</th>
<th>pH 11</th>
<th>pH 12</th>
<th>pH 13</th>
</tr>
</thead>
<tbody>
<tr>
<td>Al(H₂O)₃⁺</td>
<td>98</td>
<td>50</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Al(H₂O)₄(OH)²⁻</td>
<td>2</td>
<td>20</td>
<td>5</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Al(H₂O)₅(OH)₃⁻</td>
<td>-</td>
<td>30</td>
<td>5</td>
<td>-</td>
<td>-</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Al(H₂O)₆(OH)₄⁻</td>
<td>-</td>
<td>50</td>
<td>70</td>
<td>20</td>
<td>5</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Al(H₂O)₇(OH)₅⁻</td>
<td>-</td>
<td>-</td>
<td>20</td>
<td>75</td>
<td>95</td>
<td>100</td>
<td>-</td>
</tr>
</tbody>
</table>

In solution at pH less than 5, aluminum exists as the octahedral hexahydrate ion Al(H₂O)₆³⁺. With increasing pH Al(H₂O)₆³⁺ undergoes successive deprotonation to yield Al(OH)²⁻, Al(OH)₃⁺, Al(OH)₄⁻, and (OH)₅⁻. The appropriate equations and dissociation constants are shown below:

Al(H₂O)₆³⁺ + H₂O → Al(OH)₅⁻ + 2H₂O
Al³⁺ + H₂O → Al(OH)₄⁻ + H⁺ Kₐ = 10⁻³⁻³
Al(OH)₅⁻ + H₂O → Al(OH)₆(OH)₃⁻ + H⁺ Kₐ = 10⁻⁵⁻⁶
Al(OH)₆(OH)₃⁻ + 2H₂O → Al(OH)₃⁺ + 2H₃O⁺ Kₐ = 10⁻¹⁻¹⁻¹

Aluminum metabolism in health

Aluminum can enter the body by three routes, i.e., orally, via the lung, or by absorption through the skin. but of these only the first two are believed to be significant.21

Aluminum excretion can either be fecal or urinary. In relation to oral intake there are varying amounts of aluminum in food itself and some patients take aluminum containing medicines either as antacids or as phosphate binders.24,26 Absorption of aluminum from the gastrointestinal tract occurs largely in the duodenum. The absorption process is inefficient with low rates.27 Absorption can be enhanced in the presence of citrate and reduced in the presence of iron overload.27,28,29

During aluminum balance studies of healthy volunteers with an average intake of 2.5 mg/day, individuals showed a slight negative balance (1 mg/day) whereas when the same individuals were given oral aluminum supplements increasing their intake to 1-3 g/day there was a positive aluminum balance ranging from 23-313 mg/day.26

Urinary aluminum excretion in the healthy has been found to be less than 25 µg/day.30 However, when oral aluminum intakes were increased to 1-4 g/day urinary aluminum was as high as 495 µg/day.31 Although the ability of the kidney to excrete aluminum has not been studied in detail, aluminum clearance can approach 50% of the glomerular filtration rate.32 Fecal aluminum excretion is usually in excess of 90% of the oral intake.26

Aluminum in disease

The importance of aluminum as an etiological factor in disease did not become apparent until reliable methods for measurement of plasma and tissue aluminum became available in the 1970s. Prior to that there had been occasional reports describing aluminum toxicity in individuals who had been exposed during their work to very high concentrations of the element.

Patients with chronic renal failure usually take oral aluminum hydroxide to reduce the absorption of phosphate and thus reduce hyperphosphatemia. Berlyne, et al.32 determined hyperalbuminemia in such patients and this has been the stimulus for many investigators. Although a high incidence of encephalopathy and dementia was noted in chronic renal failure patients in 1972,6 it was not until some years later when aluminum overload was implicated in this. The major source of the aluminum was however not only the oral medications but also aluminum in dialysis fluids which also readily transfers across the appropriate membranes to the blood.33

Using dogs, Kovalichick, et al.34 were able to demonstrate that after hemodialysis the plasma aluminum of healthy animals returned to pre-dialysis levels within 48 hours, whereas in dogs with renal failure, the plasma aluminum remained elevated. In 71 patients with chronic renal failure being treated by regular hemodialysis, clinical assessment showed a good correlation between the incidence of dialysis dementia and osteodystrophy and the
aluminum concentration of the dialysate. The transfer of aluminum across dialysis membranes is dependent on the nature of the aluminum in the dialysate and this varies according to pH. Close to pH 7.0, most of the dialysis fluid aluminum is in the colloidal Al(OH)$_3$ form and the amounts transferred across such membranes increase if the dialysate is more alkaline.\textsuperscript{35}

Gill, et al.\textsuperscript{36} have demonstrated that as the dialysis fluid for peritoneal dialysis is prepared at lower pH levels this tends to lead to a greater transfer of aluminum during peritoneal dialysis than during hemodialysis. Aluminum transfer across dialysis membranes can occur against a concentration gradient, one explanation for this being that the plasma aluminum exists partly in a protein bound form.\textsuperscript{33,37} Overall, therefore, transfer of aluminum from the dialysate whether during hemodialysis or peritoneal dialysis is dependent on the concentration and speciation of the aluminum in the dialysis fluid and the concentration of the non-protein bound form of aluminum in the plasma.\textsuperscript{38}

Aluminum and neurological disease

The potential of aluminum as a neurotoxin was first suggested by Dolikin in 1897 who observed neuronal degeneration in rabbits given large oral doses of aluminum salts.\textsuperscript{1} More than 60 years later McLaughlin described a patient with dementia and attributed the symptoms to aluminum toxicity.\textsuperscript{6} Extremely high levels of aluminum were found in tissues and it was claimed that these produced behavioral deterioration without neurofibrillary degeneration.\textsuperscript{6} Following administration of aluminum salts to experimental animals a slow progressive encephalopathy characterized histologically by neurofibrillary degeneration was reported.\textsuperscript{60,61}

Alzheimer's disease, a devastating neurologic disease of later life, is the most common dementia associated with loss of memory and personality changes. It is an irreversible disorder of the central nervous system and aluminum has been implicated as a factor in the etiology of this disease.\textsuperscript{34,45} There has been an increasing burden of literature on the association between Alzheimer's disease and aluminum and the early literature has been well reviewed by Wills and Savory.\textsuperscript{45}

Dialysis dementia, also called dialysis encephalopathy, is a progressive and frequently fatal neurologic disease described in dialysis patients by Berlyne, et al.\textsuperscript{37} They also noted that the patients were taking aluminum hydroxide to reduce hyperphosphatemia.

Alfrey and coworkers\textsuperscript{6} were prompted to investigate the cause of this neurological disorder and suggested that a toxin was present in the tap water used for the preparation of the dialysis fluids. They subsequently found high concentrations of aluminum in the post-mortem muscle, bone, and brain of patients with dialysis dementia, and aluminum toxicity was then implicated as a contributing factor in the syndrome.\textsuperscript{7} McDermott, et al. has described seven cases of dialysis dementia in a group of 19 dialyzed patients and were able to correlate the levels of aluminum in brain gray matter with the overall duration of hemodialysis treatment. The dementia occurred more frequently when softened or untreated tap water was used to make up the dialysis fluid.\textsuperscript{44}

Behavioral abnormalities and other neuropathological disorders have been described in experimental animals injected with aluminum salts.\textsuperscript{46} Measurement of the aluminum content of the brains of animals showed that pathology became evident when the concentration of aluminum in the brain reached 4 to 8 µg/g dry weight and this is associated with severe neuropathological disturbances.\textsuperscript{47} Although the early literature concentrated on the distinctive neurological findings of the disease, more recent data from both Europe and USA has proposed that some forms of dialysis dementia might be part of a multisystemic disorder including encephalopathy, osteomalacia, proximal myopathy and anemia.\textsuperscript{48-51} Neurological disorders of the Alzheimer's type are more common in geographical areas where there are high soil concentrations of aluminum which can be leached out by acid rain.\textsuperscript{11}

The exact mechanisms by which aluminum causes Alzheimer's disease or dialysis dementia is not yet fully clear, but aluminum accumulates in the nuclei of neuronal filaments.\textsuperscript{42} In this connection, choline acetyltransferase activity is greatly reduced in the cortex and hippocampus of the brain of Alzheimer's disease patients and aluminum has been found to inhibit choline uptake by rat brain synaptosomes.\textsuperscript{52} In patients with dialysis dementia aluminum is located in the cytoplasm of brain cells whereas in Alzheimer's disease, aluminum is found to be associated with the nucleus.\textsuperscript{53} Although the concentration of aluminum in the brain of dialysis dementia patients is several folds greater than that found in Alzheimer's disease, the characteristic neurofibrillary tangles or neurofibrillary degeneration has not been found.\textsuperscript{53}

Dialysis dementia has recently been classified into three groups.\textsuperscript{53} These are an epidemic form which is related to the elevation of aluminum in the dialysate, a sporadic form in which aluminum intoxication is less likely,\textsuperscript{8} and a third form associated with the early childhood renal disease.\textsuperscript{56,58}

Aluminum and bone disease

There are several types of osteodystrophy associated with chronic renal failure, including osteitis fibrosa cystica, osteosclerosis, osteoporosis, and osteomalacia. Bone pain is a common symptom in patients with chronic renal failure and is a consequence of metabolic bone disease. In
patients with chronic renal failure. Ellis and Peart found that osteitis due to secondary hyperparathyroidism occurred in 93%, osteomalacia in 40%, and osteosclerosis in 30%. Their histological findings indicated that hyperparathyroidism and osteitis fibrosa occurred early in chronic renal failure, with osteomalacia developing later.

Accumulation of aluminum in bone occurs in uremic patients undergoing hemodialysis. Parsons, et al. were the first to report an increase in bone aluminum in patients with end stage chronic renal failure. The aluminum content of bone as determined by neutron activation analysis was highest in patients who have been longest on hemodialysis and the high aluminum content of the bone was attributed to the hemodialysis procedure itself. Five years later, Alfrey and his colleagues reported a high incidence of osteomalacia and encephalopathy in chronic renal failure patients being maintained on hemodialysis and also related this to the high aluminum content of the dialysis fluid. Platt et al. showed a high prevalence of dialysis encephalopathy and spontaneous features in 202 patients with chronic renal failure on hemodialysis and demonstrated that the water supply used for the preparation of dialysis fluid contained high concentrations of aluminum and manganese. They recommended that deionized water be used to prepare dialysis fluids. In patients maintained on regular hemodialysis in Newcastle-Upon-Tyne, the incidence of osteomalacia showed a marked reduction when deionized water replaced untreated water in making up the dialysis fluid. After 1-4 years of dialysis, osteomalacia was observed in only 15% of dialysis patients when the dialysis fluids were made with deionized water, but in 70% of patients when softened water from the same source was used.

Both dialysis encephalopathy and osteomalacia occurred more frequently in centers with a high tap-water aluminum content. An epidemiological survey of 1293 patients in 18 British dialysis centers showed a highly significant relationship between the aluminum content of water to prepare the dialysis fluid and the incidence of osteomalacic dialysis osteodystrophy and dialysis encephalopathy. In Europe, this disease was known as Newcastle bone disease, although it occurred in other parts of the UK such as Sheffield, Plymouth, and Leeds. Using neutron activation analysis of the bone from 34 patients with chronic renal failure and eight control subjects, Ellis et al. in 1979 demonstrated increased bone aluminum contents in 17 patients treated by hemodialysis. With patients who had undergone transplantation after a long period of hemodialysis, the bone aluminum was still high but less so than in hemodialysis patients.

Following intraperitoneal administration of aluminum chloride to 20 rats for three months, aluminum accumulated in the bone and the levels were comparable to those found in the bone of dialysis patients. Osteomalacia was evident in the animals after eight weeks of aluminum administration and it was suggested that the aluminum in the bone of dialysis patients and experimental animals interferes with the mineralization process. Following administration of aluminum to uremic rats and in the liver of non-uremic animals, the mechanism whereby aluminum causes osteomalacia in patients with chronic renal failure maintained on regular hemodialysis is not fully understood. An alteration in vitamin D metabolism has been found in aluminum related osteomalacia. This aluminum related disease is different from classic osteomalacia in being resistant to very large doses of vitamin D. The patients have bone fracture and bone pain. The possibility that aluminum might interfere with parathyroid hormone function was suggested by Morrissey, et al. who investigated the effect of adding aluminum to in vitro cultures of the parathyroid cells and found that addition of 0.5-20 mmol/L of aluminum to culture medium containing low concentrations of calcium ions progressively inhibited the secretion of PTH. Aluminum did not effect protein synthesis in vitro. Norris et al. have identified aluminum-induced bone disease as being of two types, an epidemic form in which the aluminum overload is derived from the dialysis fluid and a sporadic form due to the oral aluminum hydroxide. Using cultured mouse osteoblast-like and osteoclast-like cells, Lieberherr, et al. showed that the low rates of bone formation observed during aluminum intoxication might be due to the inhibition of collagen synthesis and to depressed cell proliferation. They also suggested that aluminum might indirectly influence bone remodelling by interfering with the action of PTH and 1,25 (OH),D3 on bone cells.

With regard to the location of aluminum within the bone, Cournot-Winner, et al. and Boyce, et al. reported that the aluminum was localized at the mineralization front between the osteoid and the calcified bone and this was confirmed. In patients with aluminum associated bone disease the accumulation of aluminum within the mineralization front might be due to the inhibition of collagen synthesis and to depressed cell proliferation. Other evidence confirmed the reduction in the mineralization front contributes to the osteomalacia in patients on long-term hemodialysis.

Aluminum and anemia

An association between anemia and chronic renal failure was first described by Richard Bright in 1837. It is now recognized that the anemia is a complex and multifactorial disorder involving both destruction and production of red cells. In 1978, Elliot and MacDougall described anemia in six patients with osteomalacic dialysis.
osteodystrophy and with dialysis encephalopathy and identified that a reduction in hemoglobin preceded the onset of neurological symptoms and evidence of bone disease. In the same year, Elliot, et al. suggested a possible causal relationship between the anemia and aluminum intoxication in patients on regular hemodialysis. Their findings were confirmed by a further study. The anemia associated with aluminum overload is non-iron deficient, microcytic, and hypochromic. It is reversible in so far as the use of dialysis fluids with a low aluminum content leads to improved hematology.

In experimental animal models, daily injection of 30 mmol aluminum salts to uremic rats significantly reduced the hematocrit, hemoglobin and MCV as compared with non-uremic control animals. However, the plasma iron, transferrin concentrations, and the iron-binding capacity remained within the reference range. It has been claimed that aluminum intoxication of the uremic animals leads to a microcytic anemia by interfering directly with hemoglobin synthesis. The exact mechanism by which aluminum causes anemia in chronic renal failure patients has not been clearly reported. In vitro experiments showed that aluminum inhibited the action of erythropoietin production with diminished erythropoiesis. This produced anemia by interfering directly with heme synthesis.

When rat liver mitochondria were also incubated with 2 mol/L of aluminum, delta-aminolaevulinic acid dehydratase activity was increased, whereas with 4 mol/L of aluminum the enzyme was inhibited. This in vitro inhibition of the delta-aminolaevulinic acid dehydratase by aluminum has been confirmed later. McGonigle and Parsons have proposed that the disturbance in hemoglobin synthesis and porphyrin metabolism might be due to secondary effects of PTH on the bone marrow. Decreased erythropoietin production with diminished erythropoiesis and reduced red cell survival time have also been considered as the primary causes of anemia in patients maintained on hemodialysis. Animal studies have confirmed that aluminum overload can cause anemia. In addition, aluminum-induced anemia is generally preceded by development of significant degrees of microcytosis and a reduction in the red blood cell production. In the latest study the binding of aluminum to serum human transferrin has been reported. In 1983, G.A. Trapp using spectrophotometric titration and gel filtration techniques postulated the binding of two molecules of aluminum per molecule of transferrin. This finding was then confirmed by a number of laboratories throughout the world using different biochemical techniques including spectrophotometric titration, equilibrium dialysis, and polycrylamide gel electrophoresis. The binding of aluminum to serum transferrin has been investigated in our laboratory. We have also found that each molecule of transferrin could bind two atoms of aluminum. On the other hand, each transferrin could be bound to 0.67 μg of aluminum. The binding of aluminum and other ions including chromium to serum transferrin could interfere with iron metabolism including heme synthesis which might be the cause of anemia in chronic renal failure patients.

Transferrin is a β-glycoprotein with a molecular weight of 80 KD and responsible for the transportation of iron from its site of absorption to the site of utilization in the cell. Using everted gut sac it has been reported recently that aluminum might interfere with iron absorption and to the reduction of iron transport in the blood. The next step in iron metabolism is uptake by the cell through transferrin receptors which are present at cell surface membrane. Aluminum-transferrin as well as iron transferrin is able to bind to the same receptor at the placental membrane with a binding constant of Kd=10^-10 M. Subcellular fractionation studies of rat liver showed that the majority of the aluminum was tightly bound to nuclei and mitochondria. In the nucleus, the majority of the aluminum was in the non-DNA part of the nucleoprotein and electrophoresis techniques showed that histones could bind aluminum and iron.

The aluminum uptake by rat isolated hepatocytes and its effect on oxygen uptake has been investigated. The hepatocytes’ aluminum content has increased after incubation in media containing aluminum-transferrin or free aluminum. The free aluminum influx to the cell is two times more than aluminum bound transferrin. The aluminum uptake from transferrin depends on the degree of saturation of transferrin with aluminum. Aluminum-transferrin complex and ultrafiltrable fractions of aluminum lead to the reduction of oxygen uptake and heme synthesis by rat isolated mitochondria. The exact mechanism by which iron as well as aluminum is taken up by the mitochondria is still a matter of speculation. It has been reported that transferrin is a direct iron donor to isolated mitochondria for heme synthesis. The existence of transferrin receptors on the mitochondrial membrane and transferrin molecule in the mitochondria has been reported recently by our laboratory using affinity chromatography technique. In this regard, we have prepared rat liver mitochondria and the probable cytosolic contamination was checked by determination of lactate dehydrogenase. Aliquots (500 μL) of solubilized mitochondria were then applied to a column which was packed with anti-human transferrin coupled to Sepharose-LE CNBr. Transferrin and transferrin receptors were eluted and identified by immunoelectrophoresis and sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS/PAGE) techniques as reported elsewhere. Postulation of transferrin receptors on the mitochondrial membrane may therefore suggest the internalization of iron-transferrins as well as aluminum transferrin to the mitochondria. Aluminum as a com-
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Complex with transferrin significantly reduced iron uptake and subsequently heme synthesis by the mitochondria. Our data showed that aluminum does not alter the ferrochelatase activity which is responsible for the incorporation of Fe(II) into protoporphyrin. We may now come to the conclusion that the reduction in serum iron concentration, hemoglobin, and other serum iron parameters is initially due to the competition between aluminum with iron metabolism in these patients, particularly at the mitochondrial level. We believe more investigation should be done to elucidate the exact mechanism by which aluminum causes dialysis osteodystrophy, neurological disease and hypochromic microcytic anemia.

**Prevention and treatment of aluminum overload**

To prevent aluminum overload in hemodialysis patients, it is clear that all sources of aluminum must be avoided. Thus, the use of aluminum-containing oral phosphate binders should be replaced by other phosphate-binding agents such as magnesium hydroxide and calcium carbonate. During dialysis, aluminum traverses the dialysis membrane against a concentration gradient. Reversal of this process can be effected, but requires almost complete elimination of aluminum from dialysis fluid. It has been recommended that the aluminum contents of dialysis fluid should be less than 0.2 μmol/L or less than 0.5 μmol/L. Overall, efforts have been directed towards reducing the aluminum content of the dialysis fluid.

Hemodialysis itself has not been effective in reducing the body burden of aluminum since more than 80% is tightly bound to protein. Desferrioxamine is an iron-chelating agent which after infusion into patients allows substantial quantities of aluminum to be removed by hemodialysis.

Desferrioxamine is a colourless crystalline base and one of the metabolites of actinomycetes. It forms a brown-red complex with iron and has maximum absorption at 420-440 nm. The formation of iron and desferrioxamine complex is pH dependent and occurs very quickly in the pH range of 2-4.5 and very slowly at pH 7.5. Structurally, it is composed of one molecule of acetic acid, two molecules of succinic acid and three molecules of 1-amino-5 hydroxylamine pentane. The association constant of Fe(III) and desferrioxamine is 10^{19} M^{-1}. Desferrioxamine is mainly used as an iron-chelating agent to treat iron overload. Mobilization of iron stores in both patients with aluminum overload, after desferrioxamine administration, has shown a significant reduction in the transferrinbound aluminum on the surface and an increase in new bone formation. Removal of aluminum from the bone trabeculae causes the successful reversal of the calcification defect and restoration of mineralization. Removal of aluminum from bone marrow was thought to be an explanation for an improvement in the anemia.

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